



公司註冊處  
COMPANIES REGISTRY

## STATEMENT OF DISCIPLINARY ACTION

### ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING ORDINANCE (Chapter 615)

Pursuant to section 53Z of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (“AMLO”), disciplinary action set out below has been taken by the Registrar of Companies against the following trust or company service provider licensee:

<b>Licence No.</b>	TC005327
<b>Name of Licensee</b>	鴻基企業註冊(香港)有限公司 HUNG KAI REGISTRATIONS (HK) LIMITED
<b>Contravention</b>	(1) Contravention of section 2(1)(a) of Schedule 2 to the AMLO, namely, the Licensee has failed to take measures to identify and verify the identities of four customers.  (2) Contravention of section 2(1)(b) of Schedule 2 to the AMLO, namely, the Licensee has failed to identify and take reasonable measures to verify the identities of the beneficial owners of six customers.
<b>Date of Decision</b>	30 May 2019
<b>Disciplinary Action Taken</b>	(1) Ordering the Licensee to remedy the contraventions  (2) Imposition of pecuniary penalty of HK\$90,000

\*\*\*\*\* END \*\*\*\*\*